



PATENT
ATTORNEY DOCKET NO. 048289-5002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#5/a
11/20/02

In re Application of:)
John M. MARYNOWSKI et al.)
Application No.: 09/417,774)
Filed: October 14, 1999)
For: AUTOMATED TRADING SYSTEM IN)
AN ELECTRONIC TRADING SYSTEM)

Group Art Unit: 3624

Examiner: Daniel S. Felten

Commissioner for Patents
Washington, D.C. 20231

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GROUP 3600

Sir:

AMENDMENT AND REQUEST FOR RECONSIDERATION

This paper is responsive to the Office Action dated August 1, 2002 (paper no. 3) and is filed within the three month shorted statutory period set in the Office Action. Accordingly, Applicants do not believe that an extension of time is required.

Please amend the above-identified application as follows:

IN THE CLAIMS

Please amend claims 32 and 36 as follows: 101

32. (Amended) An automated method of trading in an exchange system network, comprising:
receiving a current market price for an option from an exchange;
comparing the current market price for the option with a desired price for the option, said desired price derived from current price information for an underlying security for the option; and